

# Finance and Compliance

## 金融法規

### 持牌保險中介人打擊洗錢及恐怖分子資金 籌集合規持續專業發展課程

課程編號：FINA9356



報名代碼：2050-3004NW



2867 8487 / 2867 8314

shortcourse@hkuspace.hku.hk

本課程會將介紹打擊清洗黑錢及反恐怖份子融資活動的相關法例及保險業規例的基本原則；重點是香港的洗錢及恐怖分子融資風險評估報告，並設有實際經驗分享、案例研究，及如何應用相關的案例和法律法規。

\$ HK\$450

D 3小時

## Financial Services and Insurance 金融服務和保險

### Advanced Diploma in Financial Planning

Programme Code: FN005A



Application Code: 2065-FN005A

2867 8468

cfp@hkuspace.hku.hk

This programme prepares students for the CFP® Certification Examination (Foundation Level) and CFP® Certification Examination (Advanced Level Paper 1 and Advanced Level Paper 2) organized by the Institute of Financial Planners of Hong Kong. In addition, the programme also enables students to enter Year 3 of Edinburgh Napier University's Bachelor of Arts (with Honours) in Financial Services degree programme. Besides, degree holders can further their studies in finance postgraduate programmes (e.g., Postgraduate Diploma in Investment Management, Postgraduate Diploma in Investment Management and Financial Intelligence, Postgraduate Diploma in Applied Financial Engineering, Postgraduate Diploma in Finance and Data Analytics, and Postgraduate Diploma in FinTech and Legal Regulations).

**R** Applicants should

- have gained in the HKCCE Grade E in 3 subjects and Level 2 in Chinese Language and English Language; OR
- have gained in the HKDSE Level 2 in 5 subjects;
- be aged 21 years of age or above and have 3 years of work experience.
- IFPHK candidacy is a pre-requisite for the Advanced Diploma in Financial Planning Programme

\$ Business modules HK\$3,800 - HK\$5,800

AFP module: HK\$15,800

CFP level 1 &amp; 2 modules: HK\$5,350 each module

Application Fee: HK\$150

More details



D 1 year to 2 years

English

Q Level 4 (Reg. No.: 14/003649/L4) Validity Period: 01 Jan 2015 - on-going



### Executive Workshop The Art of Winning Requests for Proposals (RFP) in Financial Services

Programme Code: FINA9364



Application Code: 2070-3369NW



2867 8322

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Competition of service providers in financial services has never been more brutal. Executives of financial service providers feel increasingly commoditised as clients view themselves and their competitors as much the same. Their win rate in bidding request for proposals (RFP) may be on the decrease. One of the best ways to win RFP is to make sure they understand how and why their clients determine one competitor over another and how outstanding providers align and win the RFP without competing on fees only. How to accelerate the message of service providers with difference, preference and proof is important in winning RFP in financial services.

\$ HK\$4,500

D 1 month

English



### Certificate for Module (Insurance Contract and Compliance)

Programme Code: AC107A



2867 8474 / 2867 8314

pgdipmi@hkuspace.hku.hk

The programme aims to equip students with professional knowledge and skills to manage insurance contracts and assess possible risks and threats under an increasingly stringent regulatory environment in the insurance industry.

\$ HK\$8,000 per programme

Application Fee: HK\$150

D 33 hours

Q Level 6 (Reg. No.: 21/001079/L6) Validity Period: 01 Oct 2021 - on-going



### AFP Certification Refresher Course

Programme Code: FINA9293

Application Code: 2090-1229NW



2867 8468 cfp@hkuspace.hku.hk

This 10-hours' short course will help the students go through different type of questions step-by-step and the relevant tricks required to tackle these questions. Students are assumed to have the knowledge required for the AFP syllabus.

\$ HK\$3,000

D 10 hours

English



### Chartered Financial Analyst Level 1 Education Programme

Programme Code: FINA9017



Application Code: 2060-1534NW



2867 8468 cfa@hkuspace.hku.hk

HKU SPACE is pleased to offer the CFA® Level 1 Education Programme to help candidates prepare for the forthcoming examination.

\$ HK\$12,300

(including a comprehensive set of notes and study materials which are non-refundable)

D 2 months to 4 months

English

See legend on page 029 圖像說明於第029頁

R Minimum Entry Requirements 基本入學要求(P.015)

S Fee 學費

D Duration 修業期

E Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免

S Short Course 短期課程

For more and latest programme information, please visit our website  
有關最新課程資訊及詳情，請瀏覽學院網站 [hkuspace.hku.hk](http://hkuspace.hku.hk)

## Chartered Financial Analyst Level 2 Education Programme

Programme Code: FINA9018

Application Code: 2070-1369NW

2867 8468

cfa@hkuspace.hku.hk

HKU SPACE is pleased to offer the CFA® Level 2 Education Programme to help candidates preparing for the forthcoming examinations.

HK\$13,500

(including comprehensive set of notes and study materials which are non-refundable)

2 months

English

## Chartered Financial Analyst Level 3 Education Programme

Programme Code: FINA9292

Application Code: 2075-1821AW

2867 8468

cfa@hkuspace.hku.hk

The programme provides a solid foundation for students to prepare for CFA level 3 examination offered by CFA Institute.

HK\$13,800

4 months

English

## Chartered Financial Analyst Refresher Course - Level 1

Programme Code: FINA9277

Application Code: 2065-2426NW

2867 8468

cfa@hkuspace.hku.hk

This is a 10-hour short course to focus on CFA level 1 exam questions.

HK\$2,600

10 hours

Cantonese, supplemented with English

## Chartered Financial Analyst Refresher Course - Level 2

Programme Code: FINA9278

Application Code: 2085-1408NW

2867 8468

cfa@hkuspace.hku.hk

This is a 10-hour short course to focus on CFA level 2 exam questions. Students are assumed to have the knowledge required for the CFA syllabus and this course will help them go through different type of questions step-by-step and the relevant tricks required to tackle these questions.

HK\$3,000

10 hours

Cantonese, supplemented with English

## Chartered Financial Analyst Refresher Course - Level 3

Programme Code: FINA9324

Application Code: 2070-1362NW

2867 8468

cfa@hkuspace.hku.hk

This is a 10-hour short course to focus on CFA level 3 exam questions. Students are assumed to have the knowledge required for the CFA syllabus and this course will help them go through different type of questions step-by-step and the relevant tricks required to tackle these questions.

**R** There are no specific entry requirements. However, students should check the requirements of the CFA Institute to ensure that they qualify to sit for the CFA examinations.

HK\$2,600

10 hours

Cantonese, supplemented with English

**NCR1**

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

**NCR2**

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。

It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

**NCR3**

The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

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